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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Pramod K. Srivastava *et al.*

Confirmation No.: 1804

Application No.: 09/668,724

Group Art Unit: 1642

Filed: September 22, 2000

Examiner: Yaen, Christopher

For: ALPHA (2) MACROGLOBULIN RECEPTOR AS  
A HEAT SHOCK PROTEIN RECEPTOR AND  
USES THEREOF

Attorney Docket No.: 8449-128-999

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §1.56 AND §1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the continuing duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the U.S. Patent and Trademark Office of all references coming to the attention of each individual associated with the filing and prosecution of the subject application which are or may be material to the patentability of any claim of the application, Attorneys for Applicants respectfully direct the Examiner's attention to the references DN-DW listed on the attached revised form PTO 1449 entitled "Supplemental List of References Cited by Applicant." Copies of references DN-DW are submitted herewith.

Identification of the listed references is not to be construed as an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application.

Applicants request that the Examiner review all the references identified on the attached revised PTO Form 1449, and that they be made of record in the file history of the above-identified application.

Pursuant to 37 C.F.R. §1.97(b), since this information disclosure statement is being filed after the mailing date of a first Office Action on the merits, but before the mailing of a final Office Action or Notice of Allowance, pursuant to 37 C.F.R. § 1.97(c), a fee of \$180.00 under 37 C.F.R. § 1.17(p) is believed due. Please charge the required fee to Pennie

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& Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date: November 7, 2002

Adriane M. Antler 32,605  
Adriane M. Antler (Reg. No.)

By: Eileen E. Falvey 46,097  
Eileen E. Falvey (Reg. No.)

**PENNIE & EDMONDS** LLP  
1155 Avenue of the Americas  
New York, N.Y. 10036-2711  
(212) 790-9090

Enclosure